# **Sepia Solutions**

# IIA / ISACA Audit Software Watch Day

**Pentana Vision** 

Comprehensive audit management software

#### **Session 1**

#### Increase audit efficiency, add tangible business value

Pentana Vision supports all activities of the audit assignment.

In these sessions we will demonstrate how **Pentana Vision** ...

- increases the efficiency with work plan libraries, central database, offline working and automated audit reports
- supports the collaborative audit efforts
- safeguards the valuable know-how of experienced auditors
- adds quality assurance through the internal review cycle
- increases the professionalism of the department through standardisation

#### Session 2

#### Risk-based auditing with Pentana Vision

Internal audit departments have expressed their wish to move towards risk-based auditing. Audit plans are based on priorities and criteria, often including many levels of risk assessment.

In this session we will demonstrate how, in **Pentana Vision**, ...

- Risk and control assessments drive the scope of the audit programme.
- **High-level risk assessments** combined with **detailed risk assessments** per entity and process are **used to validate the audit plan.**

#### Introduction

The sessions we organised for the IIA/ISACA Audit Software Watch Day were live demonstration using our audit software "Pentana Vision". We did not show any slides.

This set of slides is a generic summary of Pentana Vision's features and does not reflect all demonstrated features in detail.

Should you be interested and require more information or an on-site or online demonstration of our software, please contact <u>Sepia Solutions</u>.

Thank you

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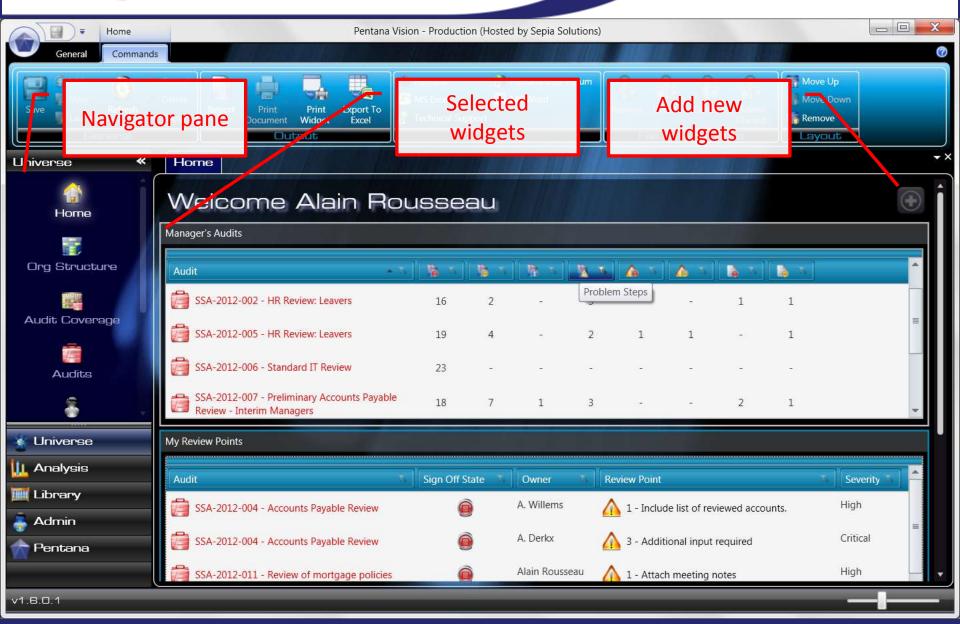
# **Sepia Solutions**

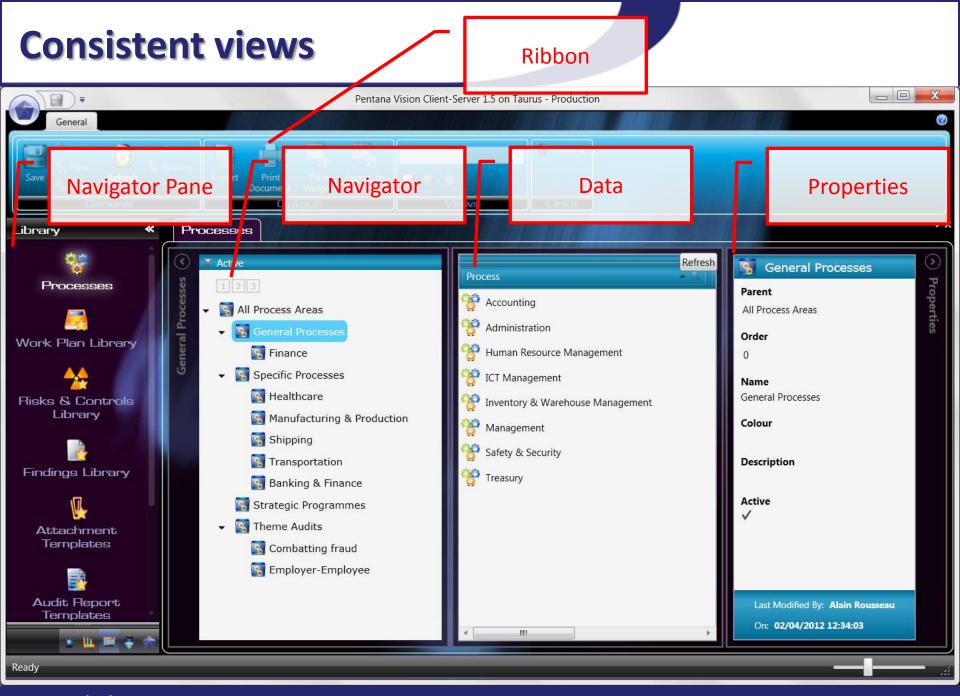
#### **Demonstration Pentana Vision**

#### **Demonstration Overview**

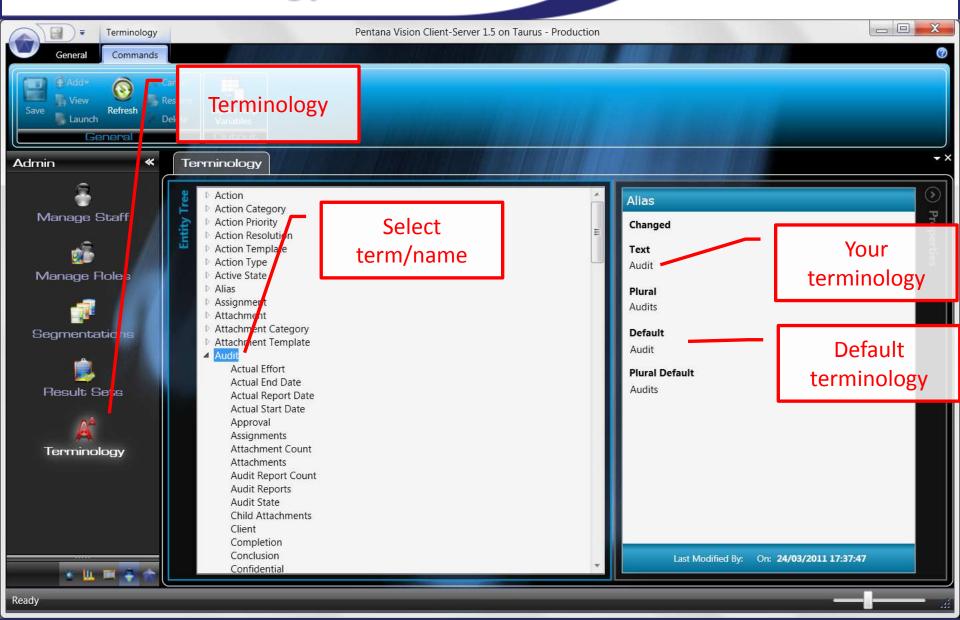
- Introduction
- Libraries
- Audit Universe
- Managing Entities
- Audit Work
- Analysis & reporting

# **Configurable home screen**

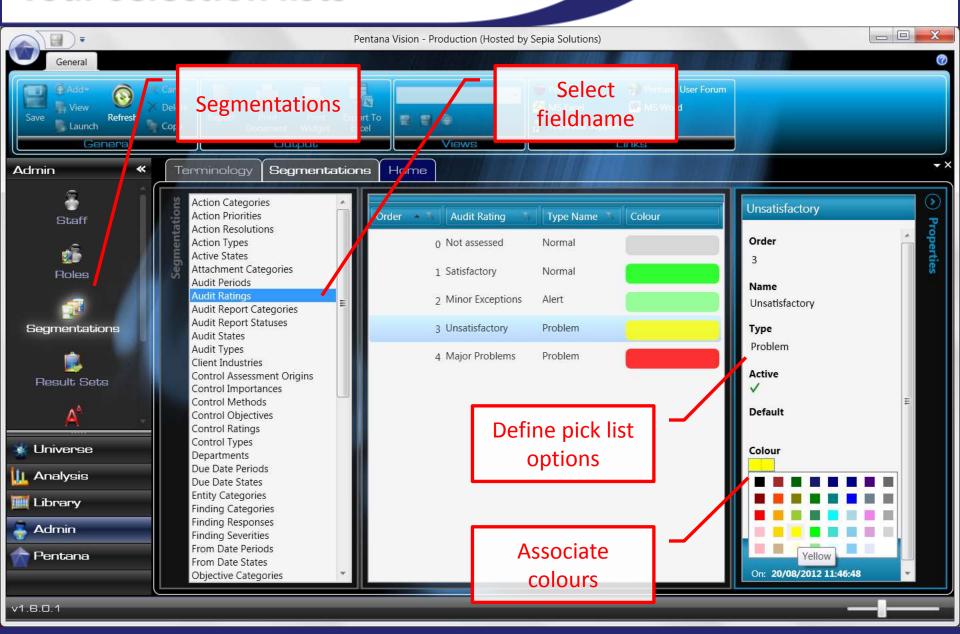




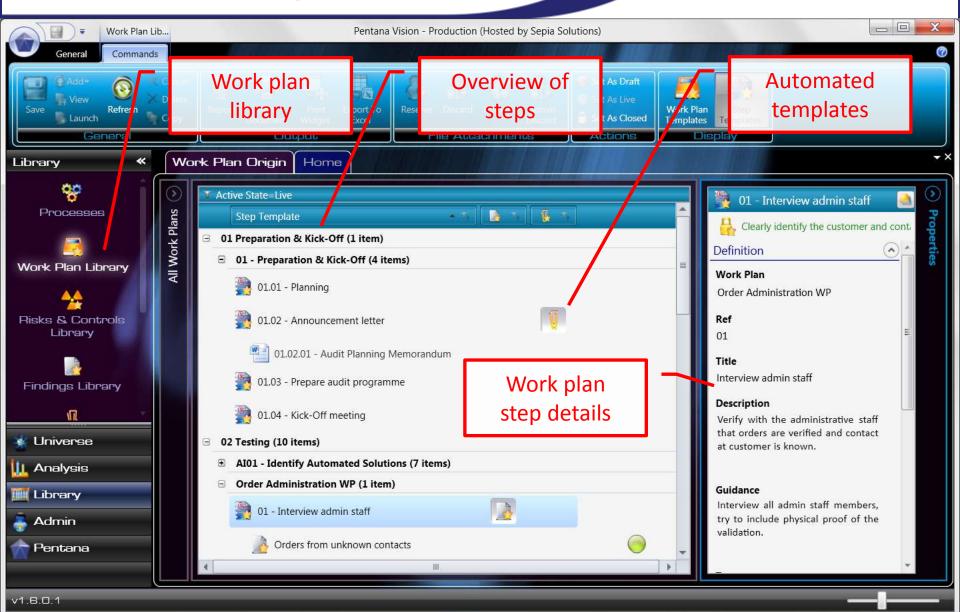
## **Your terminology**



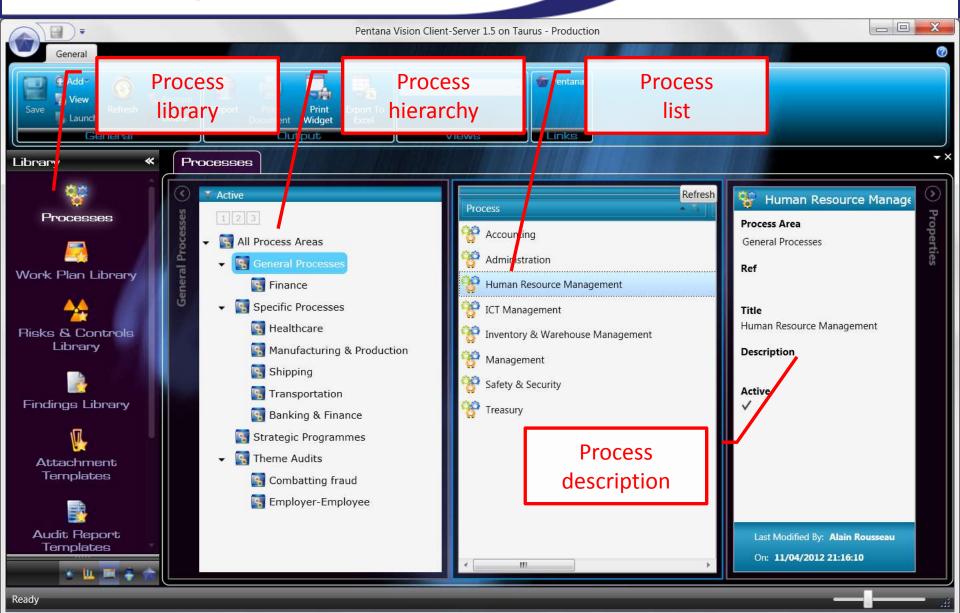
#### Your selection lists



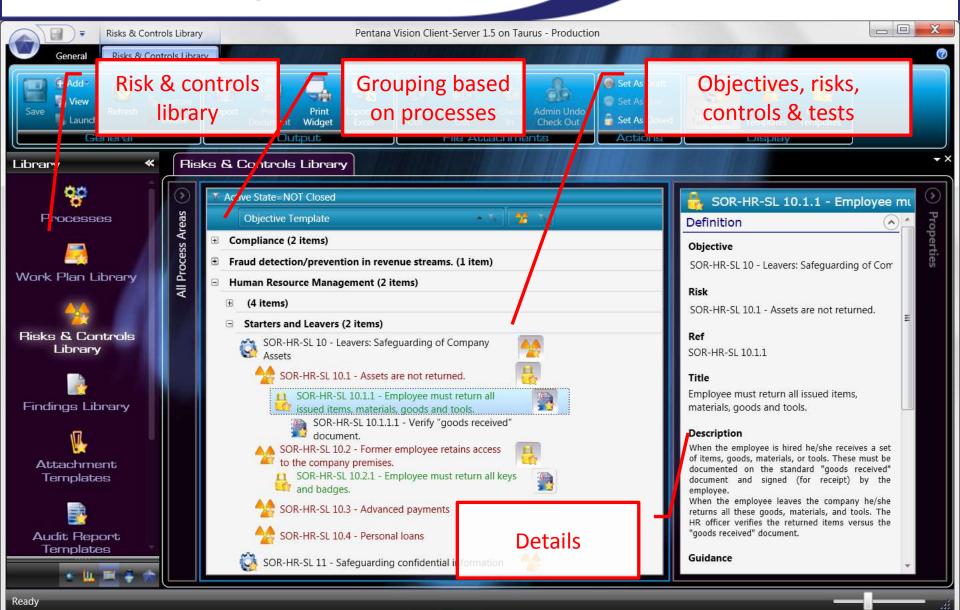
# Libraries: work plans



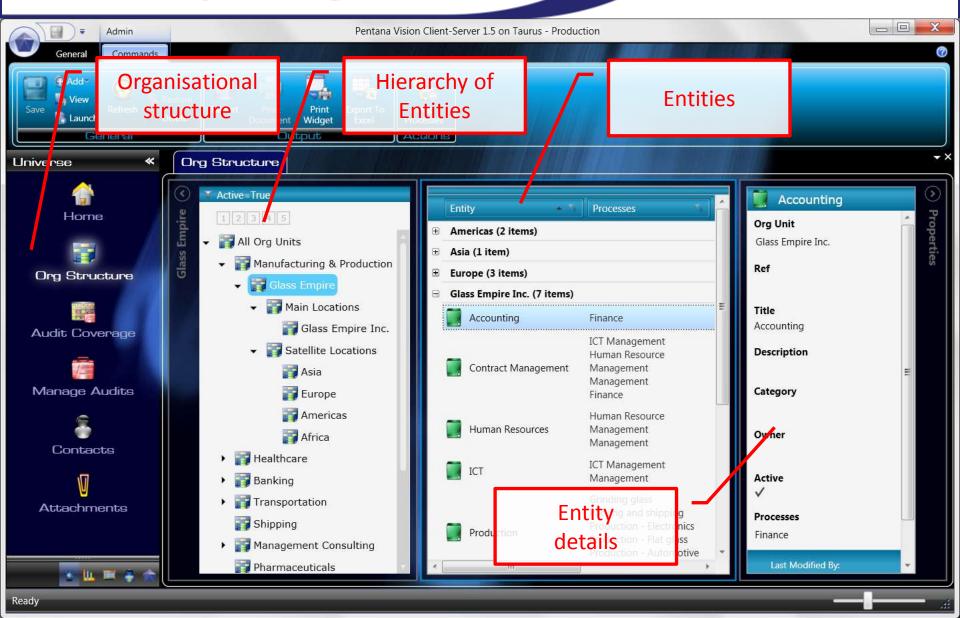
#### **Libraries: processes**



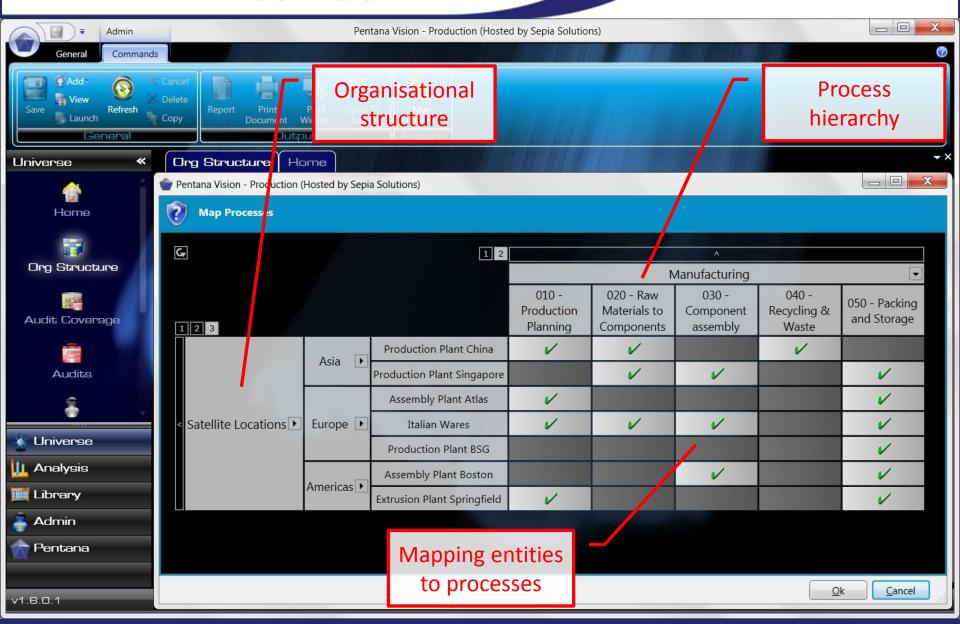
## Libraries: risk, controls & tests



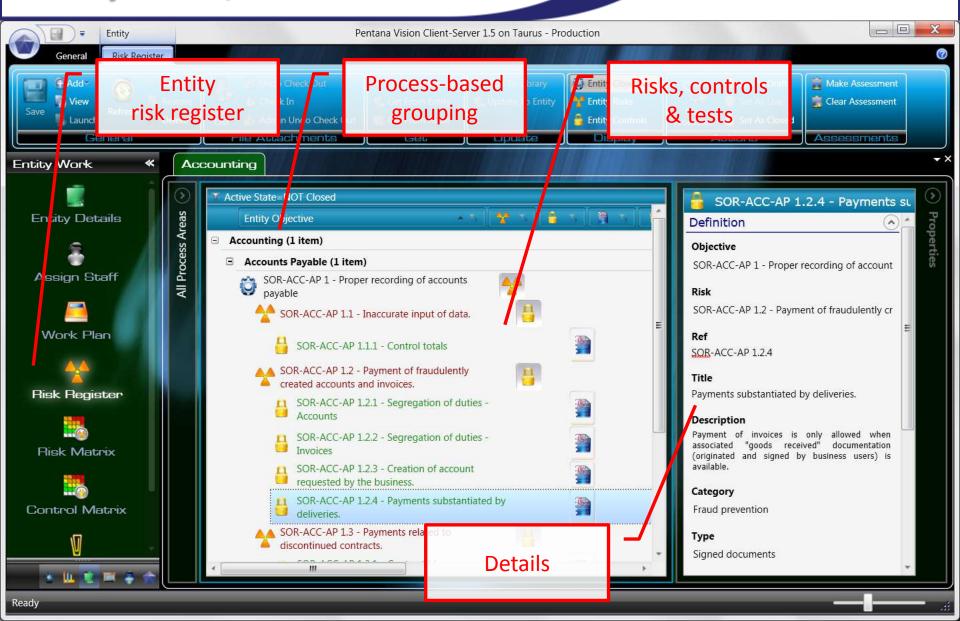
## **Universe: your organisation**



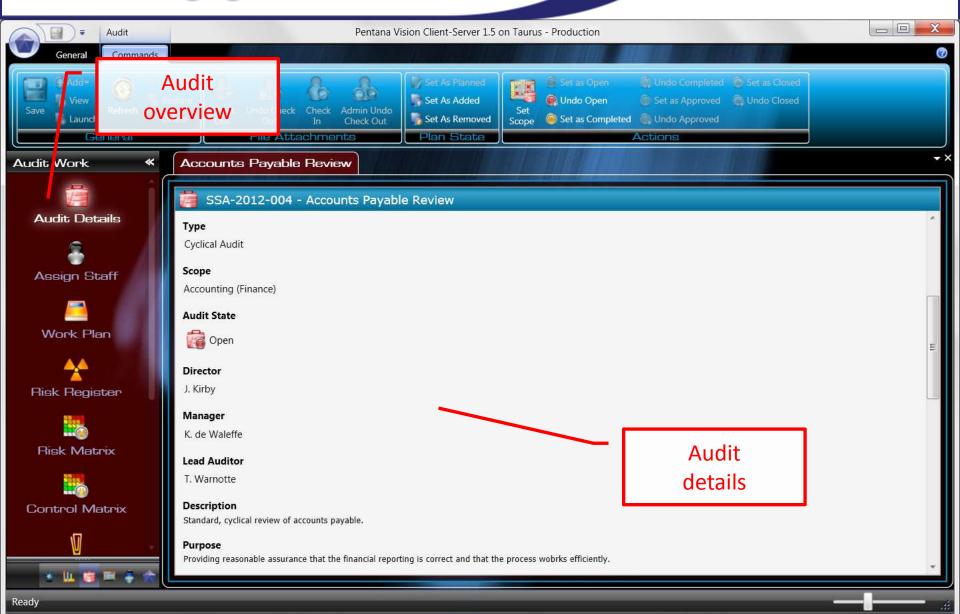
# Universe: mapping processes



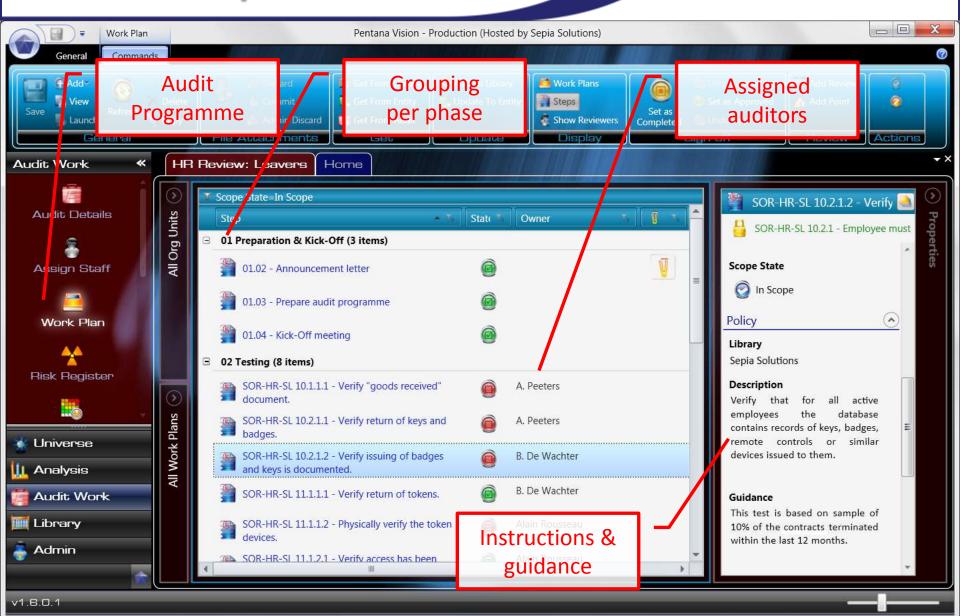
## **Entity: risks, controls & tests**



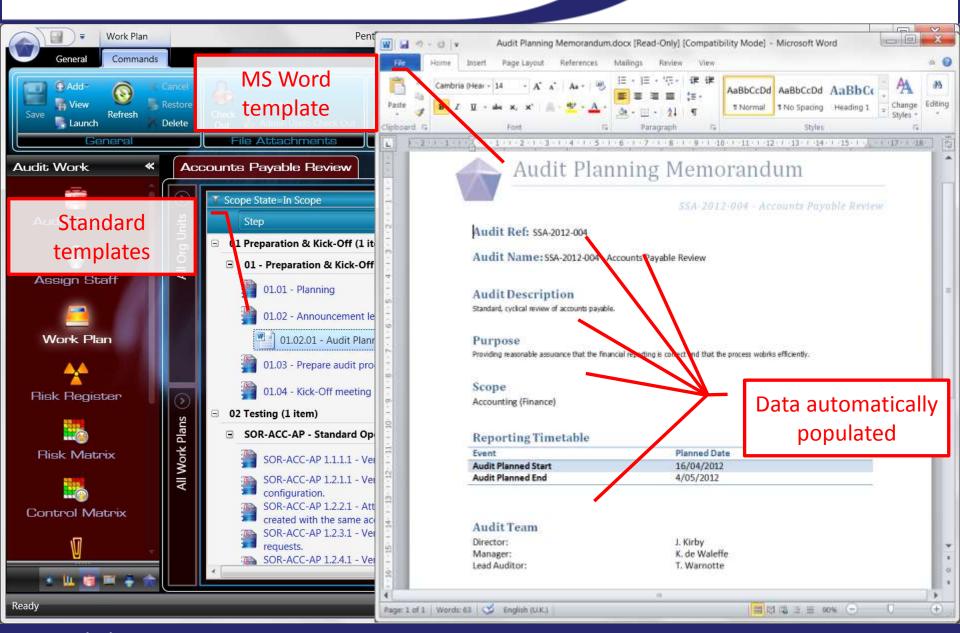
## **Audit: engagement data**



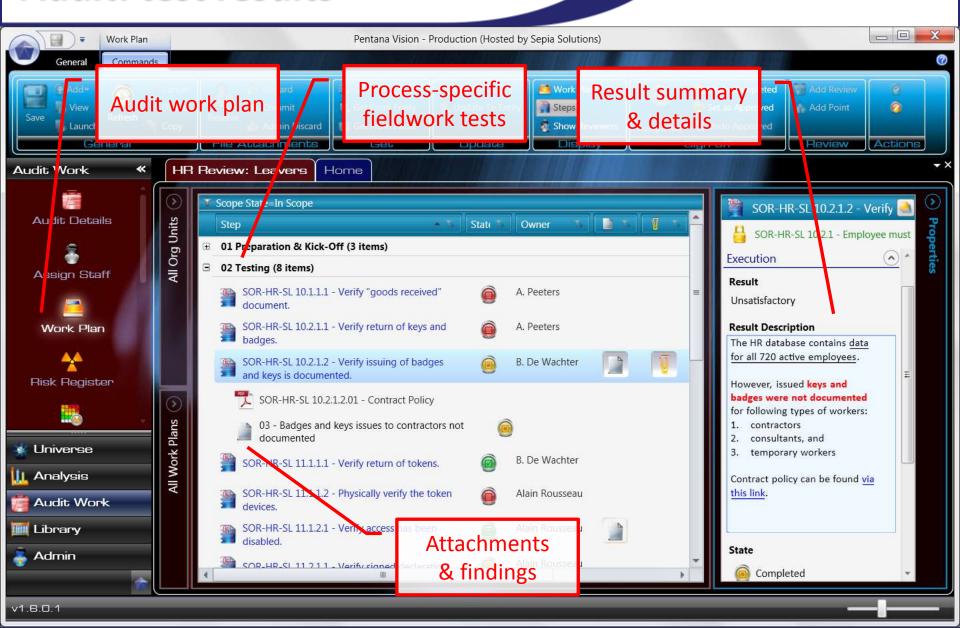
## **Audit: work plan**



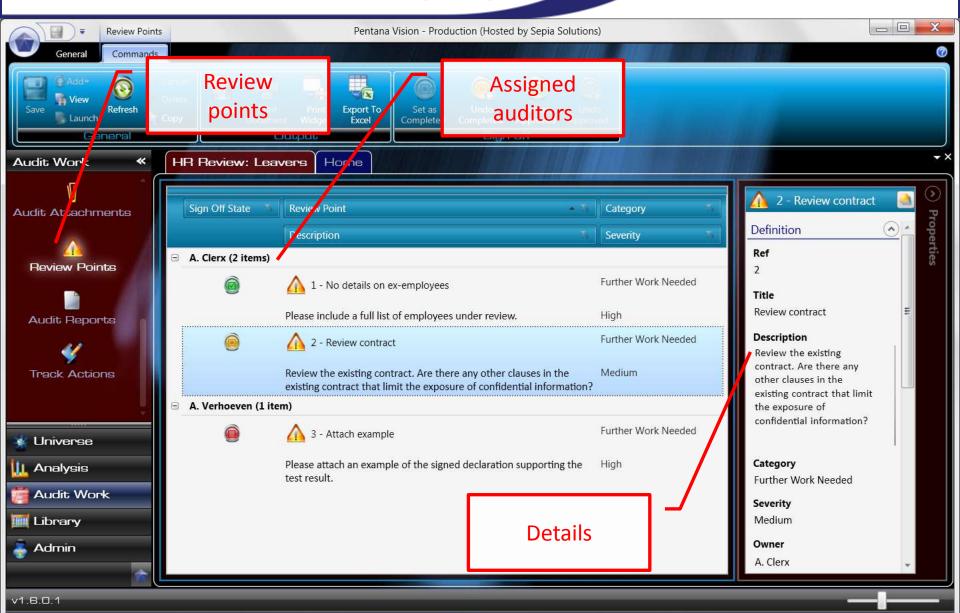
#### **Audit: announcement letter**



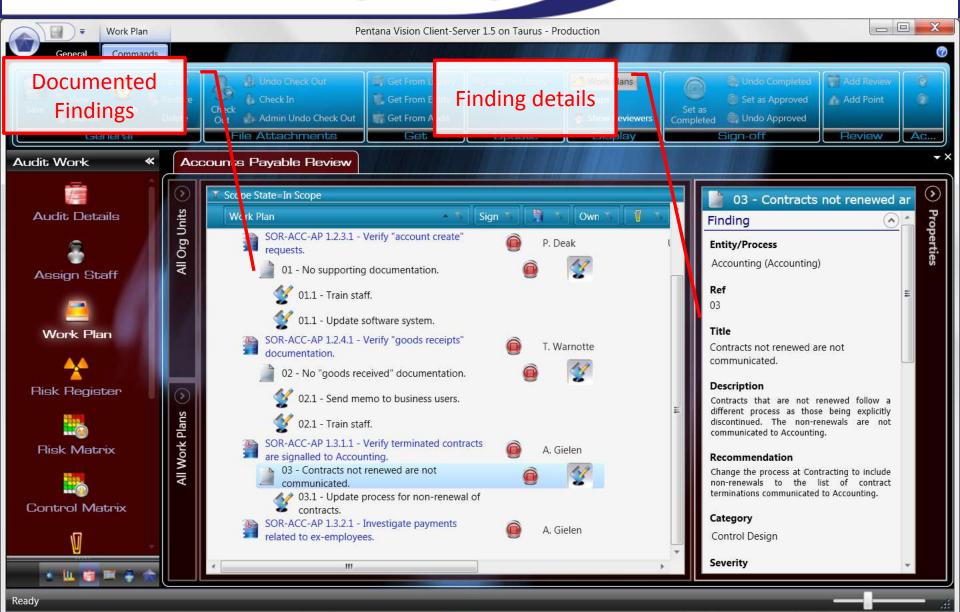
#### **Audit: test results**



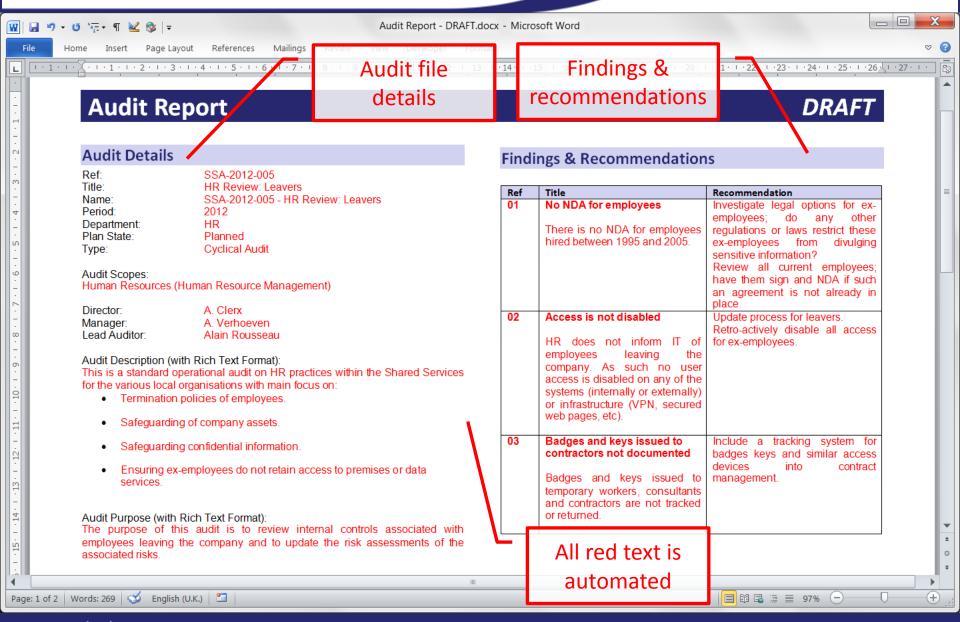
# **Audit: internal review (QA)**



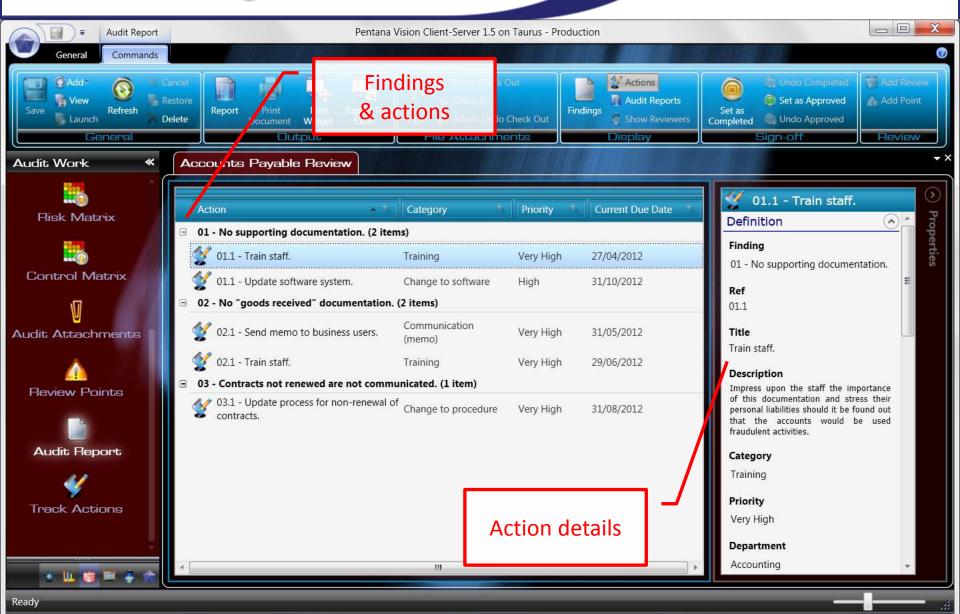
# **Audit: documenting findings**



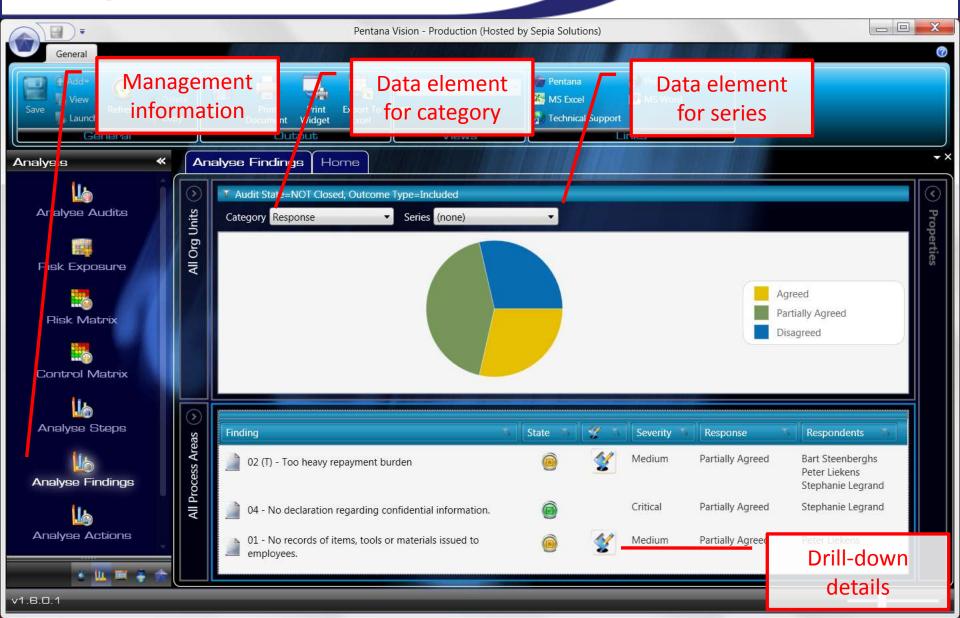
# **Audit: automated audit report**



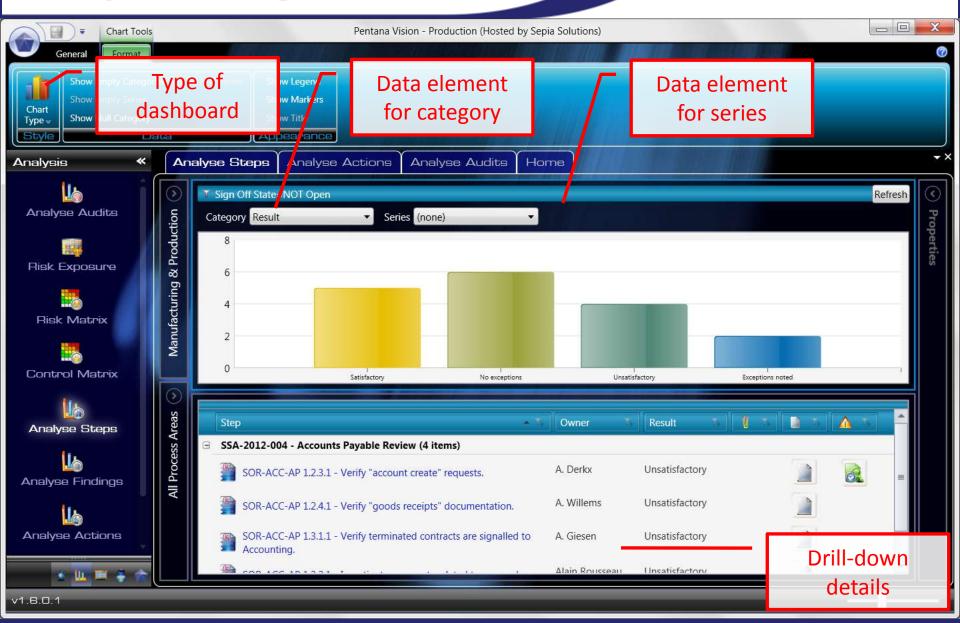
## **Audit: setting out actions**



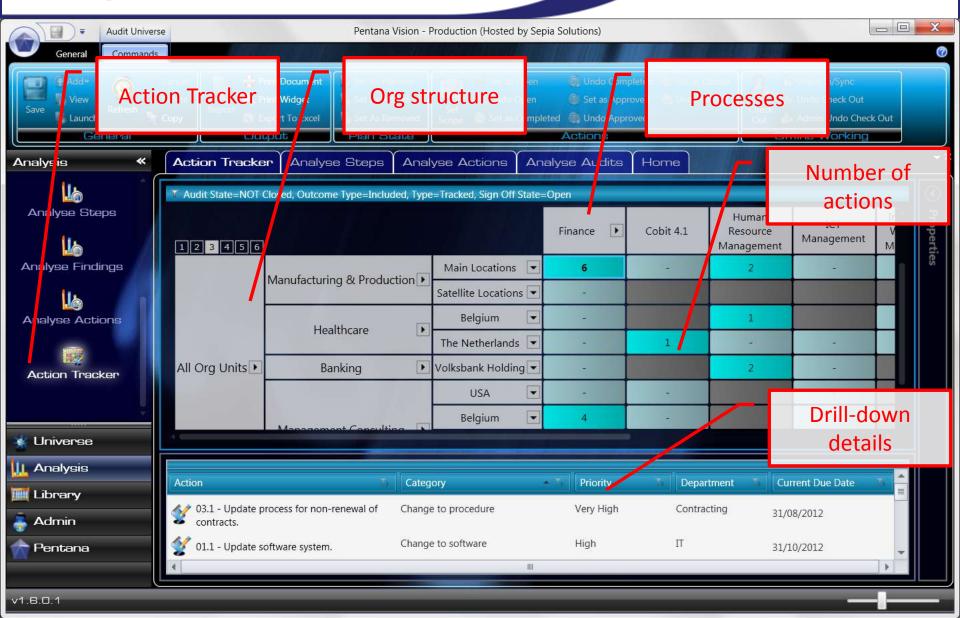
# **Analysis: dashboards**



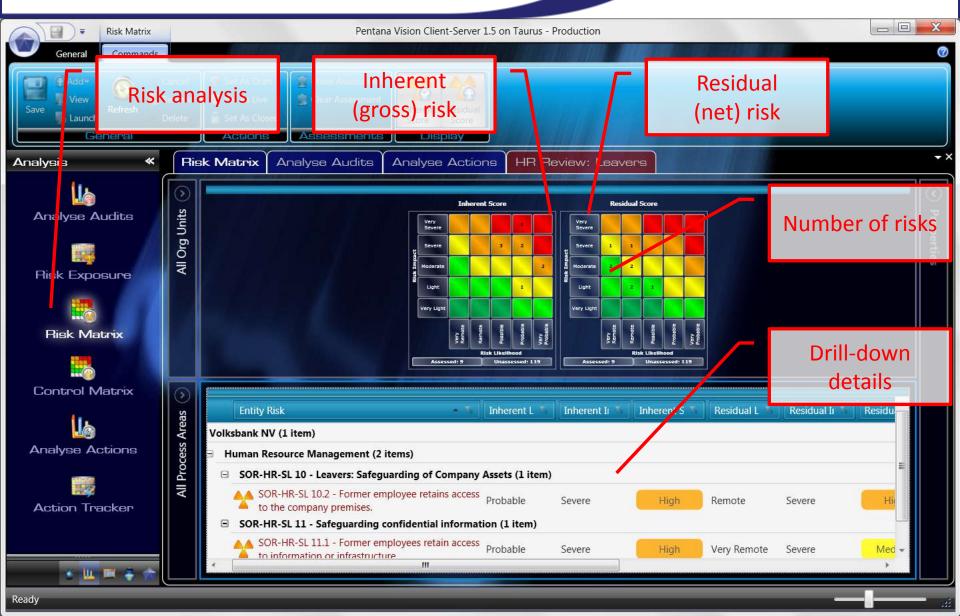
# **Analysis: configurable**



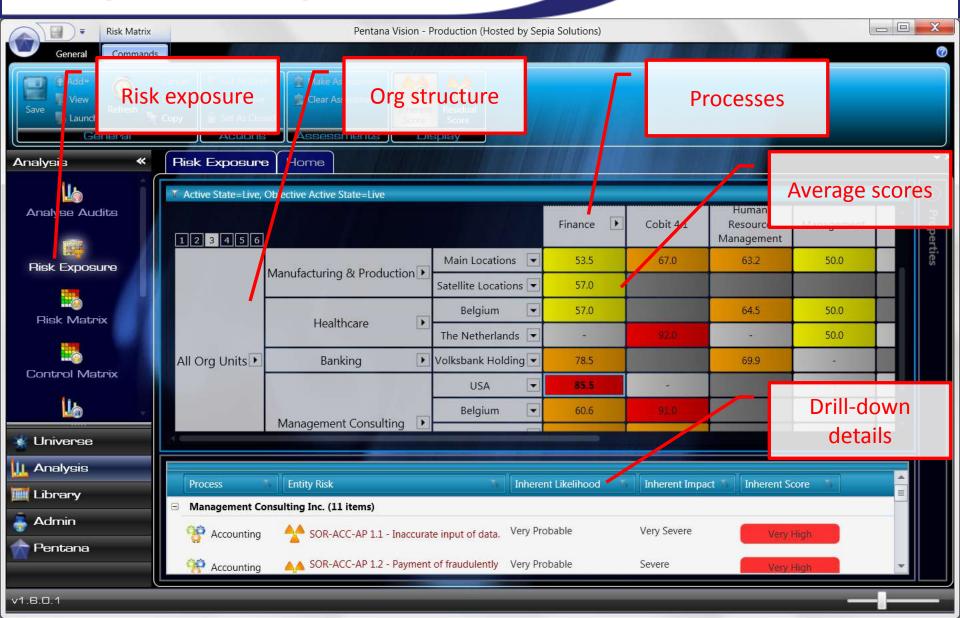
## **Analysis: action tracker**



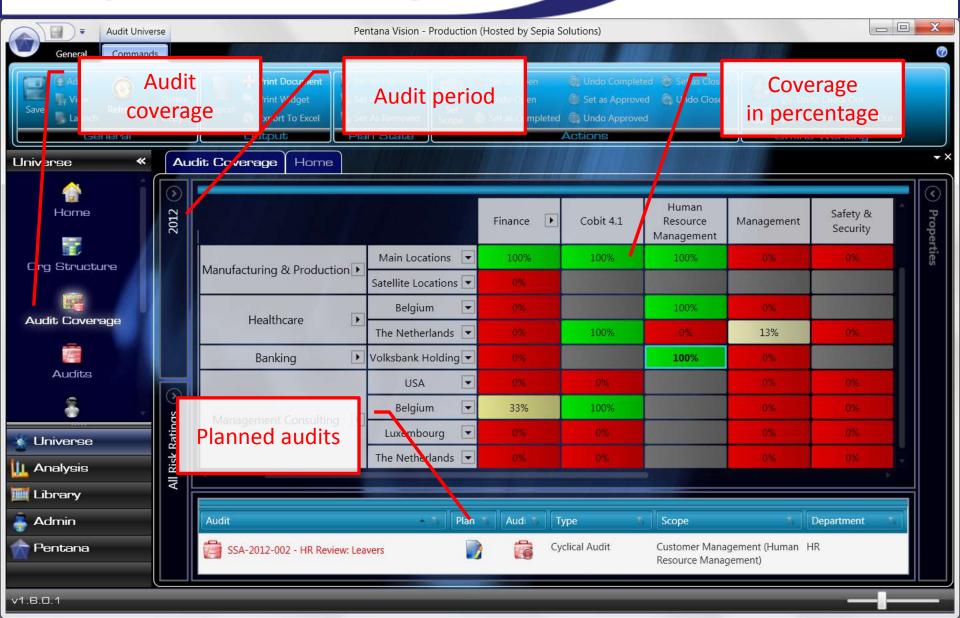
# **Analysis: risk analysis**



## **Analysis: risk exposure**



## **Analysis: audit coverage**



# **Sepia Solutions**

Because every organisation is unique, and in that yours is no different!

## **Benefits of Pentana Vision**

#### **Pentana VISION benefits**

- for Audit Managers
  - Audit planning based on "risk exposure"
  - Dashboard with elements ready for review
  - Efficient reporting with automated audit report
  - Quality assurance through review cycle
- for Senior Auditors
  - Standardisation through structured audit work plans
  - Share valuable process knowledge and audit experience
  - Document results using text formatting and hyperlinks
  - Offline working

See <a href="http://www.SepiaSolutions.net/Software/">http://www.SepiaSolutions.net/Software/</a> for more examples and illustrations.

#### **Pentana VISION benefits**

- for Audit Committee & Chief Audit Executive
  - Report audit coverage at any level
  - Analyse acceptance of findings across universe
  - Identify unresponsive departments and overdue actions
- for Organisation & Audit Methodology
  - Continue working with your familiar audit methodology
  - Standardisation with structured audit work plans
  - Audit universe modelled with entities and processes
- for IT department
  - Efficient deployment with Click-Once technology
  - Transparent and secure authentication via single-sign-on

# **Sepia Solutions**

# **Company Information**

#### **Sepia Solutions byba**

- Belgian legal entity, founded in 2010
  - Privately owned
  - No external debts or external shareholders
- Focus

Pentana: Audit & risk management

Arbutus: Data migration & analysis

Region: Belgium, The Netherlands, Luxembourg

#### **Sepia Solutions byba**

#### Services

- Project implementations & Training
- Custom reports & development
- Consulting
- Technical support

#### Sepia Solutions byba

Web: <a href="http://www.SepiaSolutions.net">http://www.SepiaSolutions.net</a>

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Phone: +32 3 877 12 10

#### Pentana Ltd.

# (1/2)

#### Profile

- A niche player
- Develop and sell audit & risk management software
  - 1992 Pentana Checker
  - 2002 Pentana Audit Work System
  - 2011 Pentana Vision

- One of the top global players in our specialist
- An "IIA Industry Leader"



(2/2)

- Global Presence
  - UK parent with US subsidiary
  - Phone support in UK, US and Australia
  - Resellers in other countries
- Structure
  - About 40 staff
  - Many auditors / accountants with IT expertise
  - No external finance or debt
  - Owned by senior employees

# **Sepia Solutions**

We look forward to making your acquaintance.

Thank you.